

# Time-Optimal Network Queue Control : The Case of a Single Congested Node

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**Abstract**---We solve the problem of time-optimal network queue control: what are the input data rates that make network queue sizes converge to their ideal size in the least possible time after a disturbance while still maintaining maximum link utilization at all times, even in the transient?

The problem is non-trivial especially because of the vast possible heterogeneity in packet propagation delays in the network. In this paper, we derive the time-optimal queue control for a single congested network node with a single finite queue shared by flows with arbitrary network delays. We neatly separate the derivation of the optimal arrival rate sequence from that of the feedback control protocol to achieve it.

The time-optimal control is robust to bandwidth and queue size estimation errors. Its complexity is only a function of the size of the network delays and no per-flow computation is needed. The time-optimality and robustness properties are proven to hold under all queue operating regimes with no need for linearizing approximations.

**Keywords**--- Flow Control, Queue Control, Congestion Control, Control Theory, Optimization, ATM, ABR

## I. INTRODUCTION

Networks all over the world, both public and private, are facing continuously increasing traffic loads. In recent developments, the long touted convergence of traditional telephone services to the Internet is finally happening slowly but surely. VoIP (Voice over IP) traffic has seen a gradual increase over the last two years, especially in private intranets as enterprises realize its cost and flexibility benefits. Peer-to-Peer data traffic is on the rise and has to be controlled so as to protect other data applications. Broadband wireless LAN traffic is on a steep rise and is expected to contribute significantly to backbone networks of service providers. High bandwidth video traffic will also undergo a steep rise once broadband to the home becomes ubiquitous.

With such bandwidth-hungry traffic on the rise and additional raw bandwidth still being costly to deploy, the problem of *coordinated* network-wide congestion prevention and queue control will assume paramount importance more than ever. The disturbances in the available bandwidth for any class of traffic will become more severe. Users will establish or break flows on a larger scale. Higher priority traffic classes may suddenly consume or release large amounts of

bandwidth. These can lead to sudden and large changes in queue sizes and link utilization.

In the light of this reality, this paper seeks to answer a fundamental question: how should the flow source rates feeding into a network be adjusted so as to quickly bring the network back towards its ideal steady queue-size condition after a disturbance. In fact, how fast can this be done while always ensuring full utilization at some link in the path of every traffic flow? How does the per-flow service rate allocation used at the queues affect this convergence time? What makes this particular control problem uniquely challenging is the presence of time delays in the data and control feedback paths. We believe that the solution to this time-delayed control problem is important in the design of any practical flow control scheme that has to handle large disturbances.

More formally, the general *time-optimal queue control problem* is: given initial queue sizes, and the link bandwidths for all future times, determine the source rate sequences and the per-flow service rates at queues that will bring the queue sizes to *desired* values in minimum time, while ensuring that at least one link remains fully utilized in every flow's path.

We seek solutions to this problem with a gradual approach. In this paper, we solve this problem for the case of a single congested node in the network, with the flows sharing a single queue and being jointly controlled. In a subsequent paper we generalize the solution for the case of multiple congested nodes.

As we see later in this paper, a sufficient condition for convergence (after a disturbance) to ideal queue sizes in minimum time is basically the minimization of magnitude of a queue deviation term at every point in time. This queue deviation term is the current queue size minus the ideal queue size reduced by an *overload* term. This overload is the total future queue size increase contributed by erroneously computed past rates that did not anticipate the disturbance. This has never been explicitly and precisely taken into account in previous approaches to flow control and the emphasis on time-optimal control is what led to its discovery.

Unlike past control theoretic approaches, including those based on linear-quadratic optimal control [1,2,5], we neatly separate the derivation of the optimal arrival rate schedules from the closed-loop network protocol to achieve it. Other

than fastest convergence, the other noteworthy features of the time-optimal control and its corresponding protocol are:

1. *Global Stability*: Queue size convergence in minimum time is guaranteed under all initial conditions and initial traffic disturbances even if queue operation enters the nonlinear regime.
2. *Tight Robustness of Performance*: The deviations of the queue sizes from their time-optimal values are bounded by exactly the total error bound in estimating the queue size and available link capacity terms in the control. Intuitively, this will also imply robustness of the time-optimal *protocol* to link delay estimation errors since these contribute bounded errors in estimating the future queue size and capacity terms in the control.
3. *Simplicity*: The structure of the time-optimal control is simple: a residual-capacity term minus a queue deviation term.
4. *Scalability*: The control rates need to be computed only per flow delay and not per flow. If  $D$  is the maximum round-trip time in units of discrete control intervals, the control complexity is  $O(D^2)$  at the time of a disturbance and  $O(W)$  otherwise, where  $W \leq D$  is the number of different flow fairness weights.
5. *Fairness*: Once the expression for the time-optimal total arrival rate is calculated, it can be divided in any desired proportion to obtain the individual flow rates to be fed back from switch to each flow source.

In practice, time-optimality in control becomes most important during periods of large queue deviations, while slower control can be used during other periods [14].

#### Related Work

Numerous network flow control schemes have been proposed. The schemes surveyed in [12] and those in [7,8,14] have focused primarily on the fairness to different flows and maximal utilization of available link capacity while using ad-hoc enhancements for queue control. Recently, linear control-theory has been systematically applied to control of TCP flows using active queue management ([9]) in Internet routers in [10] which also contains references to numerous other TCP control-theoretic analyses. However in this paper, we focus on more closely related work on network level rate-based feedback flow control using control theory.

[3] presented one of the first network-layer flow control schemes to systematically apply linear feedback control theory. The scheme controls a single congested queue in a provably local-asymptotically stable manner, with arbitrary but fixed network delays. Local stability here means guaranteed queue convergence after a small initial disturbance in available link capacity or set of flows. The disturbance is assumed small enough to ensure linear operation i.e. full link utilization and no buffer overflow at all times, even in the initial round-trip time following a disturbance. [4] extends [3] to multiple congested nodes. In [3,4], the available capacity changes are kept track of indirectly by tracking changes in

queue size. This indirect and moreover linear control approach for a nonlinear system like a network queue makes it very difficult to ensure global stability. [16,17] use the classical Smith predictor approach [21] to ensure local asymptotic stability in the presence of network delays. [18] improves on [17] by using a robust decoupled queue-reference and rate-reference control that needs no direct knowledge of network delays. [16-18] are all per-flow control schemes and do not consider the idea of joint optimal control of all flows.

The linear controller in [15], like [3], does not use the available capacity term directly but only by tracking the current change in queue size. But it is a dual controller: after a disturbance, a high gain controller quickly brings the queue to near the equilibrium point after which a low gain controller takes over and maintains local stability around the equilibrium point. [5] presents a linear-quadratic optimal control approach for per-flow control. Recent papers [1,2] formulate single-queue control as a stochastic optimal control problem with heterogeneous network delays. However [1,2] also assume a linear operation for the queue at all times. [6] derives stochastic optimal control policies assuming Markov-modulated capacity variations. [20] designs robust controllers to handle uncertain and heterogeneous network delays, but assumes linear operation too.

The rest of the paper is organized as follows. Section 2 informally explains time-optimal control. Section 3 mathematically formulates the time-optimal control problem. Section 4 derives the time-optimal input rates first for a single flow and then generalizes to multiple flows. Section 5 derives a switch-centric feedback protocol to achieve the time-optimal control. Section 6 proves the robustness of the time-optimal control. Section 7 makes concluding remarks.

## II. INFORMAL DISCUSSION OF TIME-OPTIMAL QUEUE CONTROL

Consider first the simple one queue/link model of Fig. 1. The queue size  $Q(n)$  and output data rate  $o(n)$  get updated regularly according to the discrete-time update equation<sup>1</sup>,

$$Q(n+1) = (Q(n) + a(n) - C(n))^+ \quad (1)$$

$$o(n) = \min(Q(n) + a(n), C(n)) \quad (2)$$

where  $x^+ = \max(x, 0)$ ,  $a(n)$  is the data arrival rate and  $C(n)$  is the available link capacity (or service rate) of the queue in the  $n^{\text{th}}$  time-slot, both measured in bits per time slot. In words, if the demand (total arrival rate plus queue size) is no greater than the link capacity in a time slot, the queue goes to zero; otherwise the excess of demand over capacity remains in the queue. The network node buffer holding the queue is assumed infinite for now. Finite buffers are considered in section 4.

<sup>1</sup> In the rest of the paper, an expression of the form  $x^+$  will stand for  $\max(x, 0)$  and  $x^-$  for  $\min(x, 0)$ .

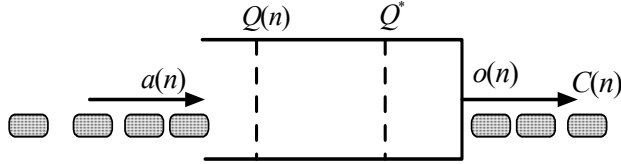


Fig. 1. Quantities involved in single queue control.

Supposing our ideal state is one of zero queue size and full link utilization. A trivial way to reach this state as quickly as possible is simply not transmit any data into the queue until the initial  $Q(0)$  bits of data are completely drained out and after that keep the data arrival rate equal to the available capacity at all times. The trouble with this approach is that the link can remain underutilized when  $Q(n) < C(n)$  and no data is sent; the entire queue would drain out and yet not utilize all the available link capacity.

Indeed, a careful look at the queue update equations above shows that only when the queue size is larger than the available link capacity must the arrival rate must be 0 to achieve maximum queue drainage in that time slot. However, when the queue size is smaller than available capacity, the 'room' available in the queue is the available link capacity minus the queue size,  $C(n) - Q(n)$ , and an arrival rate of this value will send the queue to zero at the end of that time slot while still fully utilizing the link, i.e.  $o(n) = C(n)$ . Hence the arrival rate must be controlled as  $a^*(n) = (C(n) - Q(n))^+$  in order to minimize the queue size and maximize the link utilization at every time step. Minimizing the queue size at every time step in turn minimizes the time to converge the queue size to zero.

In general if the target queue size is  $Q^*$ , it's the magnitude of queue size deviation,  $q(n) = Q(n) - Q^*$ , and not the queue size that must be minimized at every time step. The time-optimal arrival rate must therefore be,

$$a^*(n) = (C(n) - q(n))^+ \quad (3)$$

Section 4 formally proves that (3) is indeed time-optimal, i.e. converges  $q(n)$  to zero in minimum time and fully uses the link capacity at all times. The time-optimal source rates are then merely a time-delayed version of the time-optimal arrival rates.

Next, consider multiple flows sending data into the same queue. The flow sources are located at different distances from the queue as for example in Fig. 3. Consider a disturbance in the future capacities, as estimated by an observer at the queue. Upon detecting such a disturbance, it takes one round-trip time for the new desired arrival rate to be conveyed back to a source and the data from the source to be arriving later at the queue at this new rate. This is illustrated in fig. 2. The x-axis is the time and the y-axis is the distance away from the switch towards the various sources. The backward slanted line shows

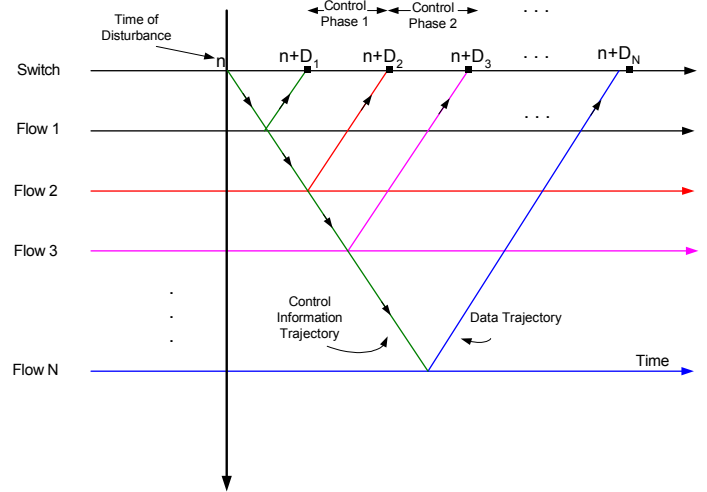


Fig. 2. Control Phases and Data Trajectories

desired arrival rate information being conveyed by the switch to each flow source which then respond with data traveling along the trajectories shown by the forward slanted lines. The time-axis gets divided into *control phases* with phase  $i$  being from  $n = D_i$  to  $n = D_{i+1}$  where  $D_i$  is the round-trip time for the  $i^{\text{th}}$  nearest source. In other words, the control phase in which a time slot  $n$  resides is  $P(n)$  where

$$P(n) = i \quad \text{if } D_i \leq n < D_{i+1}$$

Thus during phase 0, all flows are sending pre-disturbance rates, during phase 1, only flow one is sending the newly controlled (post-disturbance) rates, during phase 2, flows 1 and 2 are sending newly controlled rates and so on. In general, the *controllable* flows at time  $n$  are from  $i=1$  to  $P(n)$  or equivalently all  $i$  such that  $n \geq D_i$ . The queue update equation can be then written purely in terms of the total arrival rate of these controllable flows and the capacity available to them. That is,

$$\begin{aligned} Q(n+1) &= (Q(n) + \sum_{i=1}^N a_i(n) - C(n))^+ \\ &= (Q(n) + a^c(n) - C(n))^+ \end{aligned} \quad (4)$$

where

$$a^c(n) = \sum_{i:n \geq D_i} a_i(n) \quad (5)$$

is the total controllable arrival rate and

$$C^c(n) = C(n) - \sum_{i:n < D_i} a_i(n) \quad (6)$$

is the controllable capacity. But this queue update equation is exactly that of a single flow through a single queue as in (1). The single flow is really a super-flow, an aggregate of the controllable flows identified for each give time slot.

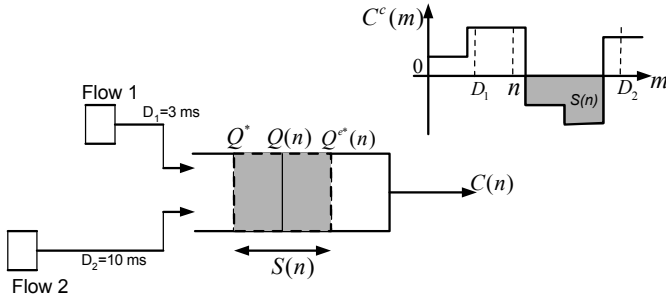


Fig. 3. Illustration of time-optimal control with negative controllable link capacity

However there is one crucial difference between equations (1) and (4). Unlike  $C(n)$ ,  $C^c(n)$  can go negative when the longer uncontrollable flows are sending more than the available link capacity, something that occurs significantly in practice due to sudden available capacity drops or new flows joining, say due to route changes. Hence the control given by (3) has to be generalized to handle negative capacities.

Intuitively, an extra space must be reserved in the queue to accommodate the queue buildups represented by the *negative* capacities in the future. That means the target queue size value must be effectively reduced by the sum of the future negative capacities. Fig. 3 shows an example. Two flows cross a queue. Flow 1 has shorter round-trip delay of 3 ms and flow 2 has a round-trip delay of 10 ms. The target queue size is 50 Kb and at any time  $n$  in control phase 1, the total future negative capacity seen by flow 1, as represented by the shaded area in the figure, is -30 Kb. This is the total data going to be dumped in the queue by flow 2 in control phase 1 even if nothing arrives from flow 1. Hence a queue space of 30 Kb must be 'reserved' for these and the effective target queue size is 50-30=20 Kb. In general, the effective target queue size at time  $n$  is

$$Q^{e*} = (Q^* + S(n))^+$$

where

$$S(n) = \sum_{l \geq n} (C^c(l))^-$$

is the total negative controllable capacity in the future of  $n$ . We also call  $S(n)$  as the *future overload* at time  $n$ .

The arrival rates must therefore be calculated as

$$a^{c*}(n) = (C^c(n) - q^e(n))^+ \quad (7)$$

where

$$q^e(n) = Q(n) - Q^{e*} \quad (8)$$

In the following section, we formally define the time-optimal control problem within a reasonably simplified network model. Section 4 then formally proves that (7,8) is indeed the solution to the time-optimal control problem: it achieves minimum convergence time while ensuring full link utilization at all times.

### III. NETWORK MODEL AND THE TIME-OPTIMAL CONTROL PROBLEM

#### A. Network Model

Since the objective of this paper is to gain a fundamental understanding of time-optimal queue control, we keep the network model simple and make some reasonable simplifying assumptions. The network is modeled as a set of packet-switched *nodes* interconnected by *links*. A *flow* is a stream of packets traveling from a given source to a destination through a fixed sequence of links and nodes. This models even a datagram network reasonably well for the purpose of feedback flow control, provided the datagram routes change only on the order of several round trip times, e.g. IP networks [18]. The source and destination nodes could either be end hosts or edge nodes of the network.

The set of packets that have entered a node and are waiting to be routed on to a particular link are represented by a *queue* to that link. This model is general enough to represent any combination of input or output queuing done in present day routers. All the flow control is concerned with is the *size* of this queue and not with the particular queuing implementation used inside the node/router.

In this paper, we only consider the control of a single queue in a single bottleneck node in the network. The total *access link delay* between transmission of a packet at a source and its reception at the bottleneck node queue can be assumed fixed since no variable queuing delays will be encountered by the packet until it reaches the bottleneck queue. This is a simplified model and also a first step in tackling the more general problem of multiple queues with arbitrary network topology and flow routes [11].

Time is divided into a sequence of time slots and all control computations are done at the beginning of time slots. Different packets can be of different sizes, with all traffic rates measured in number of bits per time slot. The *input rate* in a given time slot is the number of bits that enter the queue in that time slot while the *available link capacity* in a time slot is the maximum number of bits that can be served from the queue in that time slot. This available link capacity value is something determined by the local capacity allocation scheme used inside the node. For example, in the ATM ABR or IP best effort service, it is basically the total outgoing link capacity minus that consumed by the higher priority VBR or DiffServ flows. All the access link delays in this paper are assumed to be and expressed in integer number of time slots. Non-integer delays can be handled in a manner similar to that in [3].

#### Buffer Size Assumption:

Initially, we assume the buffer holding the data queue to be infinite in size. At the end of section 4, we explain why the time-optimal control law of (7), (8) still holds for any buffer size, finite or infinite.

*Delay-Based Aggregation of Individual Flows:*

All individual flows with equal round-trip delays, measured in units of time slots, are aggregated together as a single flow. In the rest of the paper, a “flow” refers to this aggregated flow. The flows are then identified by integers such that flow number  $i$  has the  $i^{\text{th}}$  smallest round-trip delay.

*Notations and Terminology:*

The total forward access link delay for data packets along the path of flow  $i$  from source up to the queue is denoted by  $d_{fi}$ .

The backward access link delay for the control packets from the queue back to source  $i$  is denoted by  $d_{bi}$ . The round trip time is  $D_i \equiv d_{fi} + d_{bi}$ . The arrival rate at queue for source  $i$  at time  $n$  is the number of bits of flow  $i$  arriving at the queue in time slot  $n$  and is denoted by  $a_i(n)$ . The source rate of flow  $i$  at time  $n$  is the number of bits transmitted by source in time slot  $n$  and denoted by  $A_i(n)$ . Obviously,

$$a_i(n) = A_i(n - d_{fi}) \quad (9)$$

The total arrival rate at time  $n$  is  $a(n) \equiv \sum_i a_i(n)$ . The

available link capacity (or the service rate) for the queue in time slot  $n$  is the maximum number of bits that can be transmitted on the outgoing link during time slot  $n$  and is denoted by  $C(n)$ . The queue size at time  $n$  is the number of bits in the queue at the beginning of time slot  $n$  and denoted by  $Q(n)$ . The queue deviation at time  $n$  is defined as  $q(n) \equiv Q(n) - Q^*$  where  $Q^*$  is the target queue size. The queue output rate in time slot  $n$  is the total number of bits transmitted to the outgoing link and denoted by  $o(n)$ .

*Queue and Output Update Equations:*

As discussed at the beginning of section 2, we use a discrete time update model for the queue size and output rates, viz.

$$Q(n+1) = (Q(n) + a(n) - C(n))^+ \quad (10)$$

$$o(n) = \min(Q(n) + a(n), C(n)) \quad (11)$$

*Initial Conditions for the Control:*

The initial conditions for the control are the initial queue size,  $Q(0) = Q_0$ , and the given arrival rates for each flow in its uncontrollable phase, viz. the tuple,

$$\mathbf{I} = \{Q(0) = Q_0, \{a_i(n) : 0 \leq n < D_i\}_i\}$$

*Full Notation for Queue Size Sequence:*

From the update equation, (10), it follows that the sequence of queue sizes is a deterministic function of the initial condition, arrival rate sequences in the controllable phase for each flow, and the link capacity sequence. In other words, the queue size sequence can be fully described by the notation  $Q(\mathbf{I}, \mathbf{C}, \mathbf{a}, n)$  where

$$\mathbf{a}(n) = \{a_i(n) : n \geq D_i\}_i$$

is the vector of controllable arrival rate sequences and

$$\mathbf{C}(n) = \{C(n) : n \geq 0\}$$

is the link capacity sequence. This notation  $Q(\mathbf{I}, \mathbf{C}, \mathbf{a}, n)$  is needed to compare the queue sizes caused by the candidate time-optimal sequence and other sequences, in the subsequent proofs in this paper. The queue size deviation is then

$$q(\mathbf{I}, \mathbf{C}, \mathbf{a}, n) = Q(\mathbf{I}, \mathbf{C}, \mathbf{a}, n) - Q^*$$

*B. The Time-Optimal Queue Control Problem*

**Definition (Convergence):**

The queue size is said to have converged to a value at a given time  $n$  if it remains at that value from  $n$  onwards.

Given initial condition  $\mathbf{I}$ , the link capacity sequence  $\mathbf{C}$ , and a vector of controllable arrival rate sequences  $\mathbf{a}$ , the earliest time at which the queue size has converged to  $Q^*$ , is called the convergence time to  $Q^*$  and is denoted by  $T_c(Q^*, \mathbf{I}, \mathbf{C}, \mathbf{a})$ .

The **Single Queue Time-Optimal Control Problem** can now be succinctly defined:

*Given an initial condition and the available link capacity sequence, find the vector of controllable arrival rate sequences that minimizes the convergence time to  $Q^*$  while fully utilizing the available link capacity in every time slot.*

**Some convenient terminology for comparing queue sizes caused by optimal and non-optimal arrival rate sequences:**

In the time-optimal control problem, the  $\mathbf{I}$  and  $\mathbf{C}$  are given and are therefore dropped from the notation. The queue at time  $n$  caused by arrival sequence  $\mathbf{a}$  is therefore simply denoted by  $Q(\mathbf{a}, n)$ .

To further simplify expressions in the proofs,

- a) the phrase “ $Q(\mathbf{a}^*, n) \leq Q(\mathbf{a}, n) \forall \mathbf{a}$ ” is replaced by “ $Q(\mathbf{a}^*, n)$  is the minimum” where  $\mathbf{a}^*$  is the candidate sequence whose time-optimality is being proven.
- b)  $Q(\mathbf{a}^*, n)$  is abbreviated as  $Q(n)$
- c) a) and b) above also apply for  $q(\cdot)$  and  $|q(\cdot)|$  instead of  $Q(\cdot)$ .

IV. SOLUTION TO THE TIME-OPTIMAL CONTROL PROBLEM

In section 2, we made an intuitive guess at the solution by first looking at control of only a single flow arriving at the queue and then extending the solution to the case of multiple flows. This extension was done by showing that the queue update equation with multiple flows is equivalent to that of a single “controllable” flow crossing a queue with possible negative outgoing link capacities. The original single-flow control was then extended to handle negative link capacities.

In this section, we provide formal proofs to that these solutions are correct. The technique is to first prove that to achieve minimum convergence time, it is sufficient to keep a certain queue deviation magnitude term minimized at every time slot. Then we prove by induction on the time slots that the candidate control we guessed at, indeed minimizes the queue deviation magnitude at every time step and also keeps the link fully utilized.

#### A. Single-Flow Time-Optimal Control

##### **Lemma 1** (Sufficient Condition for Minimum Convergence Time (C1))

Given initial conditions **I** and the capacity sequence **C**. Let **a** be an arrival rate sequence such that for all  $n \geq 0$ ,  $|q(\mathbf{a}, n)|$  is the minimum. Then **a** achieves minimum convergence time.

**Proof:** Consider any arrival sequence **a'**. Let  $n \geq T_c(\mathbf{a}')$ .

Then  $|q(\mathbf{a}, n)| \leq |q(\mathbf{a}', n)| = 0$ . Hence  $T_c(\mathbf{a}) \leq T_c(\mathbf{a}')$ .

■

##### **Theorem 1** (Time-Optimal Arrival Rates)

The arrival rate sequence

$$a^*(n) = (C(n) - q(n))^+$$

solves the single-queue time-optimal control problem.

**Proof:** We prove by induction on  $n$  that the following two conditions hold true for all  $n$ :

**C1(n):**  $|q(n)|$  is the minimum.

**C2(n):** if  $q(n) > 0$ , then  $q(\mathbf{a}, n) > 0 \quad \forall \mathbf{a}$ .

By Lemma 1, **C1** will guarantee minimum convergence time.

**C2** facilitates the proof by strengthening the induction step.

*Base Case:* Since  $Q(\mathbf{a}, 0) = Q_0$  is a given initial condition in the problem, **C1(0)** and **C2(0)** are satisfied trivially.

*Induction Step:* Let **C1(n)**, **C2(n)** hold true. We have,

$$Q(n+1) = (Q(n) + (C(n) - q(n))^+ - C(n))^+$$

*Case 1:*  $q(n) \leq C(n)$ . Then by the above equation we have  $Q(n+1) = Q^*$  and hence  $|q(n+1)| = 0$  and is the minimum. This satisfies both **C1(n+1)** and **C2(n+1)**.

*Case 2:*  $q(n) > C(n) \geq 0$ . Therefore  $q(n) = |q(n)|$  and is also the minimum by the inductive assumptions **C1(n)** and **C2(n)**. Since  $a^*(n) = 0$ , we have from (10),

$$q(n+1) = q(n) - C(n) > 0$$

Hence,

$$\forall \mathbf{a}, \quad q(\mathbf{a}, n+1) \geq q(\mathbf{a}, n) - C(n) \geq q(n) - C(n) = q(n+1) > 0$$

which satisfies both **C1(n+1)** and **C2(n+1)**.

For proving full utilization of link bandwidth, it is sufficient to prove that the total demand is always greater than link capacity, i.e.  $a^*(n) + Q(n) \geq C(n)$ . But this is always true because

$$a^*(n) + Q(n) \geq C(n) - q(n) + Q(n) \geq C(n) + Q^*$$

■

#### B. Multiple-Flow Time-Optimal Control

Lemma 2 below proves a sufficient condition similar to that Lemma 1, but for the case of possibly negative capacities. The difference is that the target queue size  $Q^*$  is replaced by a reduced target queue size  $(Q^* + S(n-1))^+$ . This reduction by  $S(n-1)$  leaves room in the queue at the beginning of time slot  $n$  for the total negative capacity (the total data going to be dumped into the queue due to overload) in the future. Thus, the deviation whose magnitude is to be minimized at every point in time now becomes

$$\bar{q}(\mathbf{a}, n) = Q(\mathbf{a}, n) - (Q^* + S(n-1))^+ \quad (12)$$

We call  $\bar{q}(\mathbf{a}, n)$  as the *overload-aware queue deviation* at time  $n$ .

##### **Lemma 2** (Sufficient Condition for Minimum Convergence Time (S1))

Given initial conditions **I** and the capacity sequence **C**. Let **a** be a vector of controllable arrival rate sequences such that for all  $n \geq 0$ ,  $|\bar{q}(\mathbf{a}, n)|$  is the minimum. Then **a** achieves minimum convergence time.

**Proof:** Consider any arrival rate sequence **a'**. Let  $n \geq T_c(\mathbf{a}')$ .

Then,

$$q(\mathbf{a}', m) = 0 \quad \forall m \geq n$$

$$\Rightarrow 0 \leq a'(m) = C(m) \quad \forall m \geq n$$

$$\Rightarrow S(m-1) = 0 \quad \forall m \geq n$$

From (12) this implies,

$$|q(\mathbf{a}, m)| = |\bar{q}(\mathbf{a}, m)| \leq |\bar{q}(\mathbf{a}', m)| = |q(\mathbf{a}', m)| = 0$$

$$\Rightarrow T_c(\mathbf{A}) \leq T_c(\mathbf{A}')$$

■

Theorem 2 below proves the time-optimality of the control given by (7,8).

##### **Theorem 2** (Time-Optimal Arrival Rates)

Any vector of controllable arrival rate sequences that satisfies  $a^{c*}(n) = (C^c(n) - q^e(n))^+$  where  $q^e(n) = Q(n) - Q^{e*}$  and  $Q^{e*} = (Q^* + S(n))^+$  solves the single-queue time-optimal control problem.

**Proof:** We prove by induction on  $n$  that the following two conditions hold true for all  $n$ :

**S1(n):**  $|\bar{q}(n)|$  is the minimum.

**S2(n):** if  $\bar{q}(n) > 0$ , then  $\bar{q}(\mathbf{a}, n) > 0 \quad \forall \mathbf{a}$ .

By Lemma 2, **S1** will guarantee minimum convergence time. **S2** facilitates the proof by strengthening the induction step.

The full-link-utilization property will be proven at the end. The proof by induction is given in Appendix A. ■

*Fairness:*

Once the optimal total controllable arrival rate sequence is found, it can be divided in any desired proportion in each time slot among the individual controllable flows. In general, this proportion itself varies from time slot to time slot. Denoting  $w_i(n)$  as the fraction of  $a^*(n)$  that is given to controllable flow  $i$  at time  $n$ , we have the general flow rate allocation as,

$$a_i^*(n) = w_i(n)a^*(n) \quad \forall n \geq D_i$$

where

$$\sum_{i \in P(n)} w_i(n) = 1$$

$P(n)$ , as we recall from section 2, is the total number of controllable flows at time  $n$ . The simplest fair allocation scheme is  $w_i(n) = 1/P(n)$ . In the most general case one could vary  $w_i(n)$  with  $n$  in such a way as to ensure long term weighted fairness on any required time-scale.

*Independence of Time-Optimal Control Law on Buffer Size:*

So far we have assumed an infinite buffer to be holding the queue of data in the network node. With a finite buffer of size  $B$ , the queue update equation (1) is modified to

$$Q(n+1) = \min(B, (Q(n) + a(n) - C(n))^+) \quad (\text{A.1})$$

Note that  $Q(n+1)$  is still a monotonically increasing function of  $Q(n)$  and  $a(n)$ . Because of this, all inequality comparisons in the proof of Theorem 2 still hold true even after replacing  $(Q(n) + a(n) - C(n))^+$  with  $\min(B, (Q(n) + a(n) - C(n))^+)$ . Hence Theorem 2 is true even with a finite buffer.

Other than through a technical examination of the proof steps, one can also guess the reason for this intuitively: the control of (7,8) always tries to converge the queue *towards* the effective target queue size and *away* from the buffer limit. In the next section, the switch algorithm will use the finite buffer equation (A.1) instead of (1) in order to predict the future queue sizes correctly.

## V. A SWITCH-SOURCE PROTOCOL TO ACHIEVE TIME-OPTIMAL CONTROL

The control feedback framework we consider is a similar to that of the ATM-ABR service, but simpler. Control packets regularly travel from the source through each node in its path upto the destination and then reverse their paths back to the source. Explicit rates (ERs) are computed for each time slot for each flow by the switch in a node. On receiving a control packet from a downstream switch, the switch replaces the ER in that packet with the minimum of that ER and the locally computed ER for the current time slot. The source thus receives the minimum of the ERs of each switch in its flow path. The source then equates its transmission rate to the latest ER it has received.

In this paper, we assume the ERs of the non-bottleneck switches to be always larger than that of the bottleneck switch. Therefore a flow ER sent by the bottleneck switch to the source is actuated one round-trip time later as a data arrival rate. Thus, denoting the bottleneck switch ER fed back to source  $i$  at time  $m$  by  $R^i(m)$  we have,

$$a_i(m) = A_i(m - d_{fi}) = R^i(m - D_i)$$

Substituting the above equation in (6), we have,

$$C^c(m) = C(m) - \sum_{i: m < D_i} R^i(m - D_i)$$

Note that the (6) and the above equation hold for a control starting from time 0. The switch however re-predicts future capacities and re-computes the control afresh at every the beginning of every time slot in general. For a control to be re-computed at time slot  $n$ , the above equation now has to be time-shifted by  $n$  and therefore becomes,

$$\hat{C}^c(n, m) = \hat{C}(n, m) - \sum_{i: m < n + D_i} R^i(m - D_i) \quad (13)$$

where  $\hat{C}(n, m)$  is the switch's prediction of  $C(m)$  at time  $n$ .

Note that the summation term in (13) goes to zero for  $m \geq n + D_N$ . Hence

$$\hat{C}^c(n, m) = \hat{C}(n, m) \geq 0 \quad \text{for } m \geq n + D_N \quad (14)$$

Because of (14), the predicted future overload for time  $m$  from negative controllable capacities becomes

$$\hat{S}(n, m) = \sum_{l=m}^{n+D_N-1} (\hat{C}^c(n, l))^- \quad (15)$$

We now develop the switch pseudo-code. At the beginning of every time slot, the future capacities are predicted by a separate procedure, **predict\_capacities()**. Then in procedure **compute\_optimal\_rates()**, (13), (15), (8) and (7) are used in a co-iterative computation of the optimal input rates and future queue sizes from  $m=n$  to  $m=n + D_N$ . The data variables  $\hat{S}(m)$  and  $\hat{C}(m)$  hold the computed values of  $\hat{C}(n, m)$  and  $\hat{S}(n, m)$  at time  $n$ . The pseudocode for the computation at a switch is thus:

```

main() {
  // n is current time
  // predict the future C(m) s
  for (m = n to n + D_N)  $\hat{C}(m) = \text{predict\_capacities}(m)$ ;
  compute_optimal_rates(n, n + D_N);
}

```

Algorithm 1: Switch Control Algorithm

where the procedure **compute\_optimal\_rates()** is as follows:

```

compute_time_optimal_rates(window_start, window_end) {

```

```

// B is the size of the buffer holding the queue of data
// P(m) is the control phase in which m resides

//compute the current and future controllable capacities first
for (m = window_start to window_end) {
  if (m < n + D_N)
     $\hat{C}^c(m) = \hat{C}(m) - \sum_{i:m < n + D_i} R^i(m - D_i);$ 
  else
     $\hat{C}^c(m) \leftarrow \hat{C}(m);$ 
}

//compute the overload terms using a backward recursion on
time m
 $\hat{S}(n + D_N) \leftarrow 0;$ 
(L1) for (m = n + D_N - 1 to n)
   $\hat{S}(m) \leftarrow \hat{S}(m + 1) + (\hat{C}^c(m + 1))^-;$ 

// compute one window full of optimal input rates into the
future
(L2) for (m = window_start to window_end)
  {
    // the controllable rates start only from n + D_1
    if (m ≥ n + D_1)
      {
         $\hat{q}^e(m) \leftarrow \hat{Q}(m) - (\hat{Q}^* - \hat{S}(m))^+;$ 
         $\hat{a}^{c*}(m) \leftarrow (\hat{C}^c(m) - \hat{q}^e(m))^+;$ 
(L3) for all i such that m ≥ n + D_i
           $R^{i*}(m - D_i) \leftarrow \frac{\hat{a}^{c*}(m)}{P(m)};$ 
        }
         $\hat{Q}(m + 1) \leftarrow \min(B, (\hat{Q}(m) + \hat{a}^{c*}(m) - \hat{C}^c(m))^+);$ 
      }
    }
}
Algorithm 2: Switch procedure to compute time-optimal rates

```

Note, from the for-loop labeled **L3**, that in order to compute all desired input rates all the way upto  $\hat{a}^{c*}(n + D_N)$ , it has been necessary to compute not only the current explicit rate but also *pre-compute future explicit rates* for flows 1 to  $N-1$ . Specifically, flow  $k$ , the rates becomes controllable at  $m = n + D_k$  and hence  $R^{k*}(m - D_k)$  is computed for  $m = n + D_k$  to  $m = n + D_N$ . These rates for the future remain stored in memory at the switch until they are fed back to the source at the appropriate time.

#### A. Time-Optimality of the Switch Algorithm

We see that if the future capacities are predicted correctly at time  $n$ , i.e. if

$$\hat{C}(m) = C(m) \text{ for } n \leq m \leq n + D_N,$$

then by induction on the time  $m$  in the ‘for’ loop **L2** in the pseudo-code above, it is trivial to prove by induction on  $m$  that for  $m = n$  to  $m = n + D_N$ ,

$$\begin{aligned} \hat{C}^c(m) &= C^c(m), \\ \hat{S}(m) &= S(m), \\ \hat{a}^{c*}(m) &= a^{c*}(m), \\ a_i(m) &= R^i(m - D_i) = a_i^*(m) \quad \forall i, \text{ and} \\ \hat{Q}(m + 1) &= Q(m + 1). \end{aligned}$$

*In other words, if the future link capacities are predicted correctly one maximum RTT ( $D_N$  time units) into the future, the switch algorithm simulates the future correctly as well as calculates the optimal arrival rates correctly.*

#### B. Time-Optimality to a Step-Disturbance in Available Capacity or Set of Flows

A simple switch algorithm would merely predict the future capacities as equal to the current capacity, i.e. at time  $n$ ,  $\hat{C}(m) = C(n)$  for  $n \leq m \leq n + D_N$ . In that case, under a step disturbance, i.e. with  $C(m) = C(n)$  for  $n \leq m \leq n + D_N$ , the future capacities get predicted correctly and the hence the switch algorithm computes the exact time-optimal rates.

In practice, such a step disturbance in capacity will occur when a new flow from a higher priority class, such as a real-time traffic class joins or an existing flow exits thereby causing a fixed change in the available capacity to this flow-controlled class of flows.

#### C. Efficient Version of the Switch Algorithm

In general, at the beginning of every time slot  $n$ , the switch must check if there has been a capacity disturbance, i.e. if there has been a change in the current or future predicted capacities or a change in the set of flow-controlled flows. If so, the time-optimal rates are recomputed for a window of one max-RTT into the future according to the procedure **compute\_optimal\_rates()** above. However, during disturbance-free periods, the window of computed optimal rates has to be merely advanced by one time-step, i.e. we only need to compute  $\hat{a}^{c*}(n + D_N)$ . This efficient version of the algorithm of Table 1 is shown in Table 3 below. The **compute\_optimal\_rates()** procedure used in Table 3 is exactly that specified in Table 2.

```

main()
{
  // n is current time
  // predict available capacities upto one max-RTT ahead
  for (m = n to n + D_N)  $\hat{C}(m) = \text{predict\_capacities}(m);$ 
  if (capacity_disturbance() or change in the set of flows)

```



```

// compute full window of rates upto max-RTT ahead
compute_optimal_rates( $n, n + D_N$ );
else
// compute only the rates at max-RTT ahead
compute_optimal_rates( $n + D_N, n + D_N$ );
}
capacity_disturbance()
{
// disturbance: previous predicted value of capacity for  $m$ 
is not same as current predicted value
if there exists  $m$  such that  $n \leq m \leq n + D_N$  and
 $\hat{C}_{previous}(m) \neq \hat{C}(m)$ 
return (true);
}

```

Algorithm 3: Efficient switch control algorithm that recomputes previous time-optimal rates only at a disturbance

#### D. Scalability of the Time-Optimal Switch-Source Protocol

In the time-optimal control, all individual user flows with the same round-trip delays, in units of time slots, are aggregated and considered jointly as a single flow. Thus the number of flows,  $N$ , can be at most equal to the largest round trip delay. That is,  $N \leq D_N$ . Consequently, each procedure in the switch computation is seen by inspection of Tables 2,3, to have the following complexity:

1. **capacity\_disturbance()** involves  $O(D_N)$  comparisons
2. **compute\_optimal\_rates** involves  $O(D_N^2)$  additions, multiplications, and memory accesses in each of its 3 “for” loops only if there is a capacity or flow-set disturbance. Otherwise the window of rates is merely pushed forward by one time slot in statement L3. Note that in L3, the number of different rates to be computed is actually only equal to the number of different fairness weights. Thus, for a simple equal-fairness control where the weights are the same, only one explicit rate is computed in L3.
3. In a simple implementation of **predict\_capacities()**, the entire future RTT of capacities can be predicted as a constant equal to the exponentially weighted moving average of past capacities. This requires only  $O(1)$  computation if the average is computed iteratively from one time slot to another.

In summary, an equal-fairness time-optimal protocol has a control complexity of  $O(D_N^2)$  at the time of a disturbance and  $O(1)$  otherwise. In contrast, linear control-theoretic protocols involve  $O(D_N)$  computations at each time step, irrespective of whether a disturbance occurs or how capacities are estimated.

## VI. ROBUSTNESS OF TIME-OPTIMAL CONTROL

In this section, we show that the time-optimal control of (7,8) is robust to errors in capacity and queue size estimation. In other words, bounded estimation errors give rise to bounded deviations from the optimal queue size achieved with no estimation errors.

Intuitively this can be seen as follows. First, consider the errors that can arise in computing the current optimal input rate in the control equation of (7,8),

$$a^{c^*}(n) = (C^c(n) - Q(n) + Q^{e^*}(n))^+$$

By adding the errors in estimating  $Q(n)$  and  $Q^{e^*}(n)$  to the error in estimating  $C^c(n)$ , the problem reduces to considering only errors in estimating  $C^c(n)$ . The next queue size is then given by

$$\begin{aligned} Q(n+1) &= (Q(n) + a^{c^*}(n) - C^c(n))^+ \\ &= (Q(n) + (\hat{C}^c(n) - Q(n) + Q^{e^*}(n))^+ - C^c(n))^+ \end{aligned}$$

where  $\hat{C}^c(n)$  is the estimated value of  $C^c(n)$ . In the above expression, that the deviations-from-optimal of  $Q(n)$  and  $-Q(n)$  tend to cancel each other out and what basically remains to contribute to the deviation-from-optimal of  $Q(n+1)$  is the difference between  $\hat{C}^c(n)$  and  $C^c(n)$ . This is obvious for the case where the estimate of  $a^{c^*}(n)$  is positive in which case the ‘( )<sup>+</sup>’ nonlinearity above disappears. However a formal proof of robustness shows the above argument to also hold when the nonlinearity is reached, i.e. when the estimate of  $a^{c^*}(n)$  is zero or the next queue size is zero. Once again, the trick is to use induction on time: if the queue size deviation from the optimal is bounded at time  $n$ , show that it remains bounded at  $n+1$ . The following robustness theorem therefore holds with the formal proof provided in [11].

#### Theorem 3 (Robustness of Time-Optimal Control):

If the total error in estimating  $C^c(n)$ ,  $Q(n)$  and  $Q^{e^*}(n)$  remains bounded in magnitude by  $\varepsilon$  for all  $n$ , then  $|Q(n) - Q_*(n)| \leq \varepsilon$  for all  $n$  where  $\{Q_*(n)\}$  is the time-optimal queue size sequence resulting when  $C^c(n)$ ,  $Q(n)$  and  $Q^{e^*}(n)$  are estimated perfectly.

This in turn implies that the switch procedure **compute\_optimal\_rates()** of the previous section achieves bounded deviation from the optimal as long as its estimation errors  $\hat{C}^c(m) - C^c(m)$  and  $\hat{q}^e(m) - q^e(m)$  always remain bounded.

## VII. CONCLUDING DISCUSSIONS

In this paper, we derived the time-optimal control of a single network queue shared by multiple flows from sources

located at arbitrary distances from the queue. The time-optimal control has a simple and easily implementable structure: the desired arrival rate is simply a controllable capacity term minus an effective queue deviation term. A switch-centric protocol to achieve the time-optimal control was also presented. The protocol computation is periodic and scalable with a complexity of  $O(D^2)$  when a disturbance is detected and  $O(W)$  otherwise, where  $W$  is the number of different flow fairness weights.

We showed that the time-optimal control is robust to errors in measuring the available link capacity or queue size. Intuitively, this should also lead to protocol robustness to errors in estimating the packet delays between source and switch, as long as a delay estimation error contributes to a bounded error in estimating future queue sizes. Robustness to delay errors will however be investigated in detail in a future paper. While time-optimal control provides assurances on optimal queue convergence under large step disturbances in bandwidth, it is also important to investigate the performance, such as queue mean and variance, under stochastically varying bandwidths [1,2].

The generalization of time-optimal control to multiple congested nodes has been developed in [11]. The solution is first derived for a single flow for general  $Q^*$ . We provide a rough description of this solution here for the case of zero link delays and  $Q^* = 0$  here (the solution for arbitrary link delays is then obtained by appropriate time-shifting of the optimal source rates): At every time slot, a sequence of desired arrival rate (DAR)s is calculated for each link, in a backward iteration from the destination towards the source as follows. The effective capacity of a link is computed as the minimum of its actual capacity and the downstream DAR. The DAR of the link is this effective capacity minus the local queue size. Finally, the source rate is set to the DAR of the first (access) link.

It can be shown that an alternate way to express this DAR algorithm is as follows. For each link, subtract the total of upstream and local queue sizes from the capacity. This is the *queue-reduced equivalent (QRE) capacity* of the link. Then set the source rate to the minimum of the QRE capacities of all links in its path.

Generalizing this solution for a general network of flows and general  $Q^*$  is a hard problem. However, in [11], we have intuitively derived the general network solution for the special case of  $Q^* = 0$ . A QRE capacity is computed for each link as its capacity minus the total of upstream and local queue sizes of all flows crossing it. A feasible set of source rate sequences is then defined as one where the sum of source rates of flows at any link always remains less than its QRE capacity. Any such feasible set is then shown to minimize convergence time. An additional condition is then added to maximize link utilization. The formal proofs of these are being worked on presently.

### Proof of Theorem 2:

For convenience, we drop the “c” superscript from  $a^c$  and  $C^c$  in all that follows. The abbreviated terminology developed at the end of section 3 will be used for convenience.

*Base Case (n=0):* Since  $q(\mathbf{a}, n) = q(0)$  is a given initial condition, **S1(0)** and **S2(0)** are satisfied trivially.

*Induction Step:* Let **S1(n)**, **S2(n)** hold true.

*Case 1:*  $C(n) \geq 0$ .

$$\Rightarrow S(n-1) = S(n)$$

$$\Rightarrow \tilde{q}(n) = q^e(n)$$

Then **S1(n+1)** and **S2(n+1)** hold true by exactly the same steps in the proof of Theorem 1 except that “ $q$ ” gets replaced by “ $\tilde{q}$ ” and  $Q^*$  by  $(Q^* + S(n-1))^+$  everywhere.

*Case 2:*  $C(n) < 0$ .

$$\Rightarrow S(n-1) = S(n) + C(n) \quad (16)$$

and

$$\begin{aligned} Q(\mathbf{a}, n+1) &= Q(\mathbf{a}, n) + a(n) - C(n) \\ &\geq Q(\mathbf{a}, n) - C(n) \quad \forall \mathbf{a} \end{aligned} \quad (17)$$

*Case 2.1:*  $Q^* + S(n) \leq 0$ .

$$\Rightarrow Q^* + S(n-1) = Q^* + S(n) + C(n) \leq 0$$

$$\Rightarrow \tilde{q}(\mathbf{a}, n) = Q(\mathbf{a}, n) \quad \forall \mathbf{a}$$

and

$$\tilde{q}(\mathbf{a}, n+1) = Q(\mathbf{a}, n+1) \quad \forall \mathbf{a} \quad (18)$$

$$\tilde{q}(n) = q^e(n) = Q(n) > C(n) \quad (19)$$

$$\Rightarrow a^*(n) = 0 \quad \text{and} \quad Q(n+1) = Q(n) - C(n) \quad (20)$$

Then because of (16-20) above, **S1(n+1)** and **S2(n+1)** hold true by exactly the same steps of Case 2 in proof of Theorem 1 except that “ $q$ ” gets replaced by “ $\tilde{q}$ ” and the first expression “ $q(n) > C(n) \geq 0$ ” gets replaced by “ $\tilde{q}(n) \geq 0$ ”.

*Case 2.2:*  $Q^* + S(n) > 0$ .

$$\begin{aligned} \Rightarrow \tilde{q}(\mathbf{a}, n+1) &\geq Q(\mathbf{a}, n) - C(n) - (Q^* + S(n)) \\ &= Q(\mathbf{a}, n) - (Q^* + S(n-1)) \end{aligned} \quad (21)$$

*Case 2.2.1:*  $q^e(n) > C(n)$ . Then,

$$a^*(n) = 0 \quad \text{and} \quad Q(n+1) = Q(n) - C(n)$$

*Case 2.2.1.1:*  $Q^* + S(n-1) > 0$ .

In this case, from (16),  $\hat{q}(n) \geq 0$  holds by the following reasoning,

$$\begin{aligned} \hat{q}(n) &= Q(n) - (Q^* + S(n-1)) \\ &\geq Q(n) - (Q^* + S(n)) - C(n) = q^e(n) - C(n) \geq 0 \end{aligned}$$

Hence by inductive assumption **S1**,  $\hat{q}(n)$  is the minimum.

From (21) above and because  $\hat{q}(n)$  is the minimum, we have,

$$\begin{aligned} \hat{q}(\mathbf{a}, n+1) &\geq Q(\mathbf{a}, n) - (Q^* + S(n-1)) \\ &\geq Q(n) - (Q^* + S(n-1)) = \hat{q}(n+1) \geq 0 \end{aligned}$$

which satisfies conditions **S1(n+1)** and **S2(n+1)**.

*Case 2.2.1.2:*  $Q^* + S(n-1) \leq 0$ . Then as in case 2.1,

$$\hat{q}(\mathbf{a}, n) = Q(\mathbf{a}, n) \geq 0 \quad \forall \mathbf{a}$$

Then by inductive assumption **S1**,  $\hat{q}(n)$  is the minimum and so is  $Q(n)$ . Therefore from (21),

$$\begin{aligned} \hat{q}(\mathbf{a}, n+1) &\geq Q(\mathbf{a}, n) - (Q^* + S(n-1)) \\ &\geq Q(n) - (Q^* + S(n-1)) = \hat{q}(n+1) \geq 0 \end{aligned}$$

which satisfies conditions **S1(n+1)** and **S2(n+1)**.

*Case 2.2.2:*  $q^e(n) \leq C(n)$ . Then we get,

$$\begin{aligned} Q(n+1) &= Q^* + S(n) \\ &\Rightarrow |\hat{q}(n+1)| = 0 \end{aligned}$$

which satisfies conditions **S1(n+1)** and **S2(n+1)**.

*Full Link-Utilization Property:* Since

$$\begin{aligned} a^*(n) + Q(n) &\geq C(n) - q^e(n) + Q(n) \geq C(n) + (Q^* + S(n))^+ \\ &\geq C(n), \end{aligned}$$

the link capacity is always fully utilized. ■

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